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Attorney Docket No. A-68983-1/RFT/RMS/RMK  
Dorsey File No. 469443-13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HORWITZ, David A.

Serial No.: 09/833,526

Filing Date: April 11, 2001

For: *Method To Prevent Graft Rejection  
Using TGF- $\beta$  To Induce T Suppressor  
Cells*

Examiner: HUYNH, P. N.

Art Unit: 1644

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on:

Dated: 3-11-03

Signed: *Marjorie Jost*  
Marjorie Jost

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, DC 20231

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the reference cited on the accompanying substitute for form PTO-1449. Copies of the references are enclosed herewith.

None of the foregoing references is believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application.

Serial No.: 09/833,526  
Filing Date: April 11, 2001

Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

As far as is known to the undersigned, this Information Disclosure Statement is being filed before the mailing of a first office action following a Request for Continued Examination under § 1.114 and therefore no fee is required. While no fee is believed to be due, if this belief is in error, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 469443-13 (A-68983-1/RFT/RMS/RMK)).

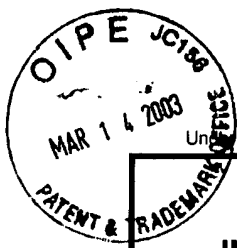
Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,  
DORSEY & WHITNEY LLP

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Filed under 37 C.F.R. § 1.34(a)



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<b>Substitute for form 1449A/PTO (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	09/833,526	
			Filing Date	April 11, 2001	
			First Named Inventor	HORWITZ, David	
			Group Art Unit	1644	
			Examiner Name	HUYNH, Phuong N.	
Sheet	1	of	1	Attorney Docket Number	A-68983-1/RFT/RMS/RMK (469443-13)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	U.S. Patent Document Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	6,447,765	09-2002	Horwitz	
	A2	6,406,696	06-2002	Bluestone	
	A3				
	A4				
	A5				
	A6				
	A7				
	A8				
	A9				
	A10				
	A11				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	B1	93/17698 A1	09-16-1993	SCHERING CORPORATION		
	B2					
	B3					
	B4					
	B5					
	B6					

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					T <sup>6</sup>
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	C1	SYKES, M. et al., "In Vitro And In Vivo Analysis Of Bone Marrow-Derived CD3+, CD4-, CD8-, NK1.1+ Cell Lines," Cell Immunol. 129(2):478-93 (1990)			
	C2				
	C3				
	C4				
	C5				

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

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